튜링의 1935년: 컴퓨터 원조논문이 나오기까지의 1년여 과정에 대한 추측

이 광근 서울대학교 컴퓨터공학부

12/07/2017 @ 서울대 교육상 기념

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● 뭔가 구겨진 느낌

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그 기간을 복기하면 구체적으로 그 근거가 드러나지 않을까

Turing's 1935: my guess about his intellectual journey to "On Computable Numbers"

Kwangkeun Yi

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Turing's 1936 Paper

"On Computable Numbers, with an Application to the Entscheitungsproblem" Proceedings of the London Mathematical Society, ser.2, vol.42 (1936-37). pp.230-265; corrections, Ibid, vol 43(1937) pp.544-546

- shows a variant of Gödel's Incompleteness proof(1931)
- contains the blueprint of computer (Universal Machine)

My Motivation

Curious: how did Turing get the ideas underlying this foundational paper of modern computer?

- a computer = Universal Machine
- a computer = a single machine that can do any mechanical computation

This talk:

- the content of the 1936's paper and
- its intellectual "pedigree" (my guess)

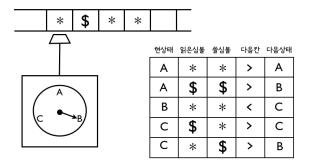
Turing's 1936 Paper

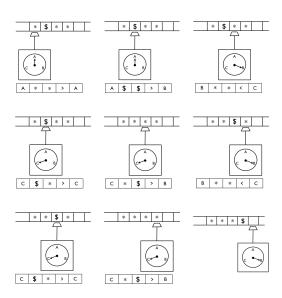
Theorem. By mechanical way we cannot generate all true formulas.

Turing's Definition

mechanical computation $\stackrel{\text{def}}{=}$ execution by a turing machien(TM)

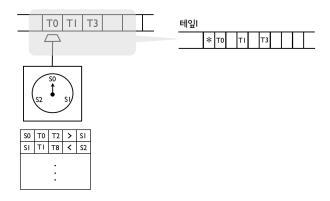
An example TM:





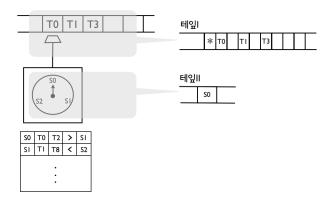
Universal TM: a Turing machine

Expressing a TM in a tape (1/3)



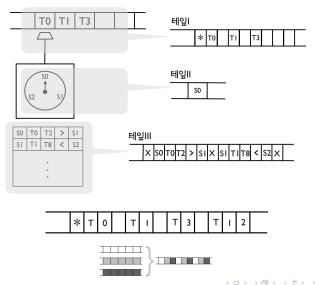
Universal TM: a Turing machine

Expressing a TM in a tape (2/3)

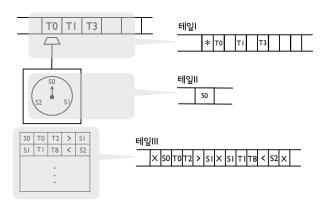


Universal TM: a Turing machine

Expressing a TM in a tape (3/3)



Universal TM: execution rules



- read tape-I, tape-II
- ullet look for match in tape-III $lacksymbol{ S1 } lacksymbol{ T1 } lacksymbol{ T2 } lacksymbol{ S2 } lacksymbol{ S2 }$
- do as specified in the matched rule



$|\mathsf{TMs}| \leq |\mathbb{N}|$

The number of TMs cannot be more than $|\mathbb{N}|$

- how many symbols for expressing TM? S,T,<,>,||, 0,···,9, X,*
- a finite sequence: 17-ary number (a natural number)

Turing's Proof, by Universal TM and $|TMs| \leq |N|$

- Lemma1 [$\exists VERI \implies \exists H$]. If a TM can generate all true formulas, then it can solve the halting problem.
- Lemma2 $[\not\exists H]$. No TM can solve the halting problem.

Thus no TM can generate all true formulas.

Lemma1 proof: $\exists VERI \implies \exists H$

If a TM can generate all true formulas, then it can solve the halting problem.

Proof. H(M) =

- 1. run VERI by Universal TM
- 2. because VERI generates all true formulas, it generates either "M halts" or "not(M halts)."
- 3. answer accordingly. QED

Lemma2 proof: $\not\exists H$

Proof. All TM and its inputs can be indexed by natural numbers: $M_1, M_2, \cdots, I_1, I_2, \cdots$.

1. If H exists, we can fill the following table.

	Input			
	I_1	I_2	I_3	• • • •
M_1	1	1	0	• • •
M_2	1	0	1	• • •
M_3	1	0	1	• • •
:	:	:	:	

2. Then, following TM M is different from all TMs

$$M(n) = Table(M_n, I_n) \times U(M_n, I_n) + 1$$

Contradiction. Hence H is impossible. QED



Turing's 1936 Paper: Wrap up

"On Computable Numbers, with an Application to the Entscheitungsproblem"

- 1. define mechanical computation: turing machine
- 2. persuade us that TM is enough
- 3. assume machine VERI that generate all true formulas
- 4. show that machine VERI can solve the halting problem
- 5. prove that the halting problem is not computable

Hence VERI is not possible. QED

How Turing come up with the 1936 paper?

"Genius" Turing?

- What talents can generate "foundational knowledge"?
- Only "genius" can do that?
- Misleading message to students
- Not encouraging
- Maybe not true either

Did the 1936 paper come from an epiphany available only to "geniuses"?

Followings are my investigation on Turing's 1935

Turing's 1935

- Turing took Max Newman's class (Foundations of Mathematics and Gödel's Theorem) in 1935
- Turing learned about Gödel's Incompleteness proof there
- Turing was puzzled; why not more down-to-earth approach?
- Turing began his own style of the same proof

Newman's Lecture: Gödel's Incompleteness Proof(1/3)

Given a 1st-order finite proof system about natural numbers, the incompleteness holds if such X exists as

X is not provable = X

- X is either true or false.
- Suppose *X* is false, then *X* is provable.
 - inconsistent system, out of our discussion
- ullet Suppose X is true, then X is not provable
 - only this is possible

Newman's Lecture: Gödel's Incompleteness Proof(2/3)

$$X$$
 is not provable $= X$

Is such X a 1st-order assertion about natural numbers? Gödel showed yes.

- ullet unique natural numbers \underline{f} and \underline{p} for every 1st-order assertion f about natural numbers and every its proof tree p
- "X is not provable" is also an assertion about natural number: "X is a factor of a proof"

Newman's Lecture: Gödel's Incompleteness Proof(3/3)

For
$$X = \mathit{UnProvable}(\underline{X})$$
 Gödel proved such X is

$$\begin{array}{lll} X & \stackrel{\mathsf{def}}{=} & G[x \mapsto k] \\ k & \stackrel{\mathsf{def}}{=} & \underline{G} \\ G & \stackrel{\mathsf{def}}{=} & \mathit{UnProvable}(\underline{\mathit{subst}(x,n,x)}) & (\mathsf{Note} \ \mathit{fv}(G) = \{x\}) \\ & \quad \mathsf{where} \ n = \underline{x} \ \mathsf{and} \ \mathit{subst}(a : \mathbb{N}, b : \mathbb{N}, c : \mathbb{N}) = \overline{a}[\overline{b} \mapsto c] \end{array}$$

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because

$$\begin{array}{lll} X & = & G[x \mapsto k] \\ & = & (\textit{UnProvable}(\underline{\textit{subst}}(x,n,x)))[x \mapsto k] \\ & = & \textit{UnProvable}(\underline{\textit{subst}}(k,n,k)) \\ & = & \textit{UnProvable}(\overline{G}[x \mapsto k]) \\ & = & \textit{UnProvable}(X) \end{array}$$
 QED

Newman's Comments on Gödel's Proof

$$\begin{array}{ll} X & \stackrel{\mathsf{def}}{=} & G[x \mapsto k] \\ k & \stackrel{\mathsf{def}}{=} & \underline{G} \\ G & \stackrel{\mathsf{def}}{=} & \mathit{UnProvable}(\underline{\mathit{subst}(x,n,x)}) \\ & \quad \text{where } n = \underline{x} \text{ and } \underline{\mathit{subst}(a} : \mathbb{N}, b : \mathbb{N}, c : \mathbb{N}) = \overline{a}[\overline{b} \mapsto c] \end{array}$$

- no nonsense: G has x replaced by itself(encoding \underline{G} of G)
- two points from equation X = UnProvable(X)
 - an assertion about self is expressable within the given proof system
 - can interpret it as specifying an infinite object: a fixpoint of $\textit{UnProvable}: X \stackrel{\text{def}}{=} \textit{UnProvable}(\textit{UnProvable}(\cdots))$

$$x = x + 1$$

$$x = x - 0$$

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- Machine that decides whehter machines would run infinitely or not
- BTW, how a machine can see machines? A machine that has machines as its inputs?

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- Possibility expanded, now back to impossibility. I hope the halting problem is impossible. How can I prove it?

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 - Hence ∄VERI.

QED

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Thank you.



정리

제 추측이긴 하지만 이게 튜링의 1935년이 아니었을까.

- 거울같은 짝
 - 튜링 논문의 고비와 실마리들 = 괴델 논문의 기술들
- 있었던 일
 - 괴델증명을 자세히 강의해 준 선생님
 - 자의식 넘친 우등생
 - 그 학생의 줏대있는 행보
 - 고비마다 방향을 잡아준 괴델증명 강의노트
 - 자연스런 소품으로 등장한 컴퓨터의 원천 설계도
 - 색다른 증명을 기록으로 남기도록 도운 선생님
- 이제 접어도 되지않을까
 - 튜링을 천재라고, 불필요하게 주변을 겁주지 말자
 - 튜링이 천재이기 때문에? 꼭 그렇지만은 않은듯

결론

비슷한 성과는 우리 주변에서도 싹틀 수 있다고 본다.

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"원천지식", "탈추격", "선진국형" 연구 성과 vivacity 비슷한 성과는 우리 주변에서도 싹틀 수 있다고 본다.

"원천지식", "탈추격", "선진국형" 연구 성과 vivacity

감사합니다. QnA